

INDIVIDUAL SELF-CERTIFICATION (for Automatic Exchange of Information)

個人自我證明書 (自動交換資料)

Tax regulations require ANZ and its Controlled Entities ("ANZ") to collect a Self-Certification that includes your tax residence(s). ANZ may be required to provide your information to relevant tax authorities (including where you do not provide a valid Self-Certification). 稅務規例要求ANZ及其控制的實體 ("ANZ") 收集個人自我證明書, 當中包括您的稅務居民身份。ANZ 或需向有關稅務機構 (包括該等您未向其提供有效自我證明書之稅務機構) 提供資料。

Automatic Exchange of Information may include the Foreign Account Tax Compliance Act (FATCA), and the Common Reporting Standard (CRS). 自動交換資料或受《外國帳戶稅務合規法案》(FATCA) 及《通用報告準則》(CRS) 約束。

- Complete this Self-Certification if you are:** an Individual Account Holder, Sole Trader or Sole Proprietor. For joint or multiple Account Holders each Individual Account Holder must complete a separate Self-Certification.
請完成此自我證明, 如您是: 個人帳戶持有人、個體交易商或獨資經營者; 就聯名或多個帳戶的持有人, 每名個人帳戶持有人均須填妥獨立的自我證明書。
- Refer to the country specific terms/information at www.anz.com/aeoi** 請於 www.anz.com/aeoi 參閱有關國家之特定條款/資訊。
- You should obtain, tax/legal/other professional advice (if required) before you complete this Self-Certification and sign Section 3.**
您應在填寫此自我證明書及簽署第 3 部分之前, (如有需要) 請獲取稅務/法律/其他專業意見。

Section 1: Account Holder Details 第1部分: 帳戶持有人資料

1.1 Full Name 全名

First or Given Name(s) Surname
名字 姓氏

1.2 Residence Address (Do not provide a PO Box or in-care-of Address) 住址 (請勿提供郵政信箱或代收地址)

Address Line 1 Province/State/County
地址第1行 省/州/郡

Address Line 2 Postal Code/Zip Code
地址第2行 郵遞區號

City/Town Country
市/鎮 國家

1.3 Date of Birth 出生日期
(日) (月) (年)

Section 2: Account Holder Tax Residence(s) 第2部分: 帳戶持有人稅務居民身份

(Please note, US Citizens are considered to be Tax Residents of the US. 請留意, 美國公民之稅務居民國家為美國)

2.1 I confirm 本人謹此確認:

a) I am only Tax Resident in the country of account opening 本人僅為帳戶開立國家的稅務居民

OR 或

b) I have included below all countries in which I am Tax Resident (other than the country of account opening).
(除帳戶開立國家外) 本人已在下方提供本人為稅務居民的所有國家。

Country of Tax Residence (Do not include country of account opening) 稅務居民國家 (請勿包括帳戶開立國家)	Taxpayer Identification Number (TIN) (or country equivalent) 納稅人身份識別號碼 (TIN) (或同等國家)	Reason Code (if TIN not provided) 原因代碼 (如未提供 TIN)	Explanation (If Reason Code is Z) 請註明原因 (如原因代碼為 Z)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Reason codes 原因代碼: A - TIN Not Issued (The Country does not issue TINs) 沒有 獲發 TIN (該國家不設編發 TINs)
B - TIN Not Required (The Country does not require collection of a TIN) 無需 TIN (該國家不要求收取 TIN)
C - TIN Applied For (I have applied for a TIN and will inform you upon receipt) 已申請 TIN (本人已申請 TIN 並將於收到後提供)
Z - TIN Unobtainable (I am unable to obtain a TIN) Please provide explanation. 無法獲得 TIN (本人無法獲得 TIN) 請註明原因。

Section 3: Declarations and Signature 第3部分: 聲明及簽署

I certify that 本人謹此證明:

- I am the Account Holder and/or authorised to sign/disclose for the Account Holder. 本人為帳戶持有人及/或獲授權代帳戶持有人簽署/作出披露。
- I have consulted an independent advisor where necessary and acknowledge that ANZ has not provided any advice. 本人在有必要時已諮詢獨立顧問並確認 ANZ 沒有提供任何意見。
- I will notify ANZ of changes to any information (including TIN changes) within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification. 本人會於任何資料變更 (包括 TIN 之更改) 後 30 日內通知 ANZ 該資料變更, 並會按要求的 ANZ 提供新的自我證明書。
- I have attached all relevant documents (eg. Power of Attorney). 本人已附上全部有關文件 (例如: 授權書)。
- I have provided true, correct and complete information. 本人提供之資料真實、正確及完整。
- I will provide ANZ with any additional information and/or documentation as requested. 本人會按要求的 ANZ 提供任何額外資料及/或文件。

Signature Name Date
簽署 姓名 日期 (日) (月) (年)
(Please print first name and surname 請以正楷書寫名字及姓氏)

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

警告: 根據稅務條例第 80 條第 (2E) 款, 作出在要項上屬具誤導性、虛假或不正確的陳述, 及明知該項陳述在要項上屬具誤導性、虛假或不正確, 或罔顧該項陳述是否在要項上屬具誤導性、虛假或不正確, 即屬犯罪。任何人犯此罪行, 一經定罪, 可處第 3 級 (即 \$10,000) 罰款。