

NOTE: Please complete the form using English characters. / 请用英文字母填写表格。

Tax regulations require ANZ and its Controlled Entities ("ANZ") to collect a Self-Certification that includes your tax residence(s). ANZ may be required to provide your information to relevant tax authorities (including where you do not provide a valid Self-Certification).

税法规定，ANZ及其受控实体（“ANZ”）应收取自我证明表，表中需说明税务居民状态。ANZ可能需向相关税务部门提供信息（包括未提供有效自我证明表的情况）。

Automatic Exchange of Information may include the Foreign Account Tax Compliance Act (FATCA), and the Common Reporting Standard (CRS).

“信息自动交流”可能包括《外国账户税务合规法》（简称FATCA）和《共同申报准则》（简称CRS）。

Before you begin:

开始前:

- (a) **Complete this Self-Certification:** If you are an Individual Account Holder, Sole Trader or Sole Proprietor. For joint or multiple Account Holders each Individual Account Holder must complete a separate Self-Certification.
- (a) **填写自我证明表:** 填写人应为个人账户持有人、个体经营者或独资经营者。若为联合或多个账户持有人，每一位个人账户持有人均须单独填写一份自我证明表。
- (b) **Refer to the Australian specific terms/information at www.anz.com/aeoi**
- (b) **请对照**www.anz.com/aeoi**上的澳大利亚具体条款/信息。**
- (c) **Obtain tax/legal/other professional advice (if required) before you complete this Self-Certification and sign Section 3**
- (c) **请事先征询税务/法律/其他专业意见(若有需要)，然后填写自我证明表并签署第3栏。**

Once completed mail to:
填完后，请邮寄至：

ANZ
AEOI Documents
Reply Paid 89576
SOUTH MELBOURNE VIC 3205
澳大利亚

SECTION 1: ACCOUNT HOLDER DETAILS

第1栏：账户持有人信息：

1.1 Full Name

1.1 姓名

First or Given Name(s)
名

Surname
姓

1.2 Residence Address (Do not provide a PO Box or in-care-of Address)

1.2 住址（请勿提供邮政信箱或代转地址）

Address Line 1
住址行1

Province/State/County
省/州/国家

Address Line 2
住址行2

Postal Code/Zip Code
邮编

City/Town
市/镇

Country
国家

1.3 Date of Birth

1.3 出生日期

(DD/MM/YYYY)

(日/月/年)

INDIVIDUAL SELF-CERTIFICATION (FOR AUTOMATIC EXCHANGE OF INFORMATION)
个人自我证明表 (用于信息自动交流)



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SECTION 2: ACCOUNT HOLDER TAX RESIDENCE(S)

第2栏: 账户持有人税务居民状态:

(Please note, US Citizens are considered to be Tax Residents of the US).
(请注意, 美国公民视作美国税务居民)。

2.1 I confirm:

2.1 我确认:

- a) I am only Tax Resident in Australia OR
a) 我只在澳大利亚是税务居民, 或
- b) I have included below all countries in which I am Tax Resident (other than Australia).
b) 下方已列出我为税务居民的所有国家 (澳大利亚除外)。

Country of Tax Residence (Do not include Australia) 税务居民国 (澳大利亚除外)	Tax payer identification number (TIN) (or country equivalent) 纳税人识别号(TIN) (或该国等同代码)	Reason Code (if TIN not provided) (若未提供TIN) 原因代码	Explanation (only if Reason code is "Z") 若原因代码为Z, 请说明

Reason codes:

原因代码:

A - TIN Not Issued (The Country does not issue TINs)

A - 未签发TIN (该国不签发TIN)

C - TIN Applied For (I have applied for a TIN and will inform you upon receipt)

C - 已申请TIN (我已申请TIN, 并会在收到后作出通知)

B - TIN Not Required (The Country does not require collection of a TIN)

B - 无需TIN (该国不要求采集TIN)

Z - TIN Unobtainable (I am unable to obtain a TIN) Please provide explanation.

Z - 无法取得TIN (我无法取得TIN) 请说明。

SECTION 3: DECLARATIONS AND SIGNATURE

第3栏: 声明与签字

I certify that:

- 1. I am the Account Holder and/or authorised to sign/disclose for the Account Holder.
- 2. I have consulted an independent advisor where necessary and acknowledge that ANZ has not provided any advice.
- 3. I will notify ANZ of changes to any information (including TIN changes) within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification.
- 4. I have attached all relevant documents (eg. Power of Attorney).
- 5. I have provided true, correct and complete information.
- 6. I will provide ANZ with any additional information and/or documentation as requested.
- 7. I understand that provision of false, inaccurate or incomplete information may constitute an offence(s) and penalties may apply.

兹证明:

- 1. 我是账户持有人, 并且 (或) 已有授权作为账户持有人代表签字/披露信息。
- 2. 我已在必要时咨询了独立顾问, 并在此申明ANZ未提供任何意见。
- 3. 我会在信息发生变化 (包括TIN变动) 后30日内通知ANZ, 并会在需要时向ANZ提供新的自我证明表。
- 4. 我已附上所有相关文件 (如授权委托书)。
- 5. 我已提供真实、准确、完整的信息。
- 6. 我会根据要求向ANZ提供补充信息和 (或) 文件。
- 7. 我已知悉, 若提供不实、不准确或不完整的信息, 可能构成违法行为, 并可能招致处罚。

Signature

签名

Name

清楚书写姓名

(Please print first and last name)

(请保持字迹清晰)

Date

日期

(DD/MM/YYYY)

(日/月/年)

Office Use Only

限本行填写